

Personal application form

Canaccord Wealth International Investment Funds Limited

About this form

Canaccord Wealth International Investment Funds Limited is a company incorporated in Jersey with limited liability under the Companies (Jersey) Law 1991. This application is to buy participating shares of the classes shown below in Canaccord Wealth International Investment Funds Limited.

Please write clearly in the spaces with capital letters or tick (✓) the boxes where indicated. Your application may be delayed if any of the information we need is missing. The Manager may reject any application at its sole discretion.

Institutions and companies should request the appropriate Corporate Application form from the Manager or Administrator.

Section 1 – Applicant details

First named applicant

Title: Mr Mrs Miss Ms Other (please specify):

Gender: Male Female

Legal name(s) as they appear on your chosen identity document:

First name and any middle name(s):

Surname:

Any other name(s), e.g. maiden name, any other name used:

Mother's maiden name:

Your principal residential address, including country (If you have more than one residential address, please advise on a separate sheet):

Passport or national identity number:

Date of birth:

Place of birth (town and country):

Nationality (if you have more than one nationality, please list all that apply):

Telephone number:

Mobile telephone number:

Email address:

Tick here to opt in to The Investor Portal (The Investor Portal allows you to view your valuations and account information online)

Exact nature of occupation/business (if retired, occupation at time of retirement):

Name of employer:

First named applicant Source of Wealth

Please indicate the source(s) of your wealth including which country(ies) it was generated in. Please note that we may request a full explanation and formal evidence to verify the source(s). If you require more space please add to the notes section on page 18.

Please disclose any higher risk factors that you or any immediate or close family members or associates have, which may impact your risk status (please see section 9 for more information).

Please confirm if you're investing solely for yourself or acting for a third party. If investing for a third party, please provide their details in section 1, and provide supporting documentation, such as a power of attorney.

Second named applicant

Title: Mr Mrs Ms Miss Other (please specify):

Gender: Male Female

Legal name(s) as they appear on your chosen identity document:

First name and any middle name(s):

Surname:

Any other name(s), e.g. maiden name, any other name used:

Mother's maiden name:

Your principal residential address, including country (If you have more than one residential address, please advise on a separate sheet):

Passport or national identity number:

Date of birth:

Place of birth (town and country):

Nationality (if you have more than one nationality, please list all that apply):

Telephone number:

Mobile telephone number:

Email address:

Tick here to opt in to The Investor Portal (The Investor Portal allows you to view your valuations and account information online)

Exact nature of occupation/business (if retired, occupation at time of retirement):

Name of employer:

Second named applicant Source of Wealth

Please indicate the source(s) of your wealth including which country(ies) it was generated in. Please note that we may request a full explanation and formal evidence to verify the source(s). If you require more space please add to the notes section on page 18.

Please disclose any higher risk factors that you or any immediate or close family members or associates have, which may impact your risk status (Please see section 9 for more information).

Please confirm if you're investing solely for yourself or acting for a third party. If investing for a third party, please provide their details in section 1, and provide supporting documentation, such as a power of attorney.

Third named applicant

Title: Mr Mrs Ms Miss Other (please specify):

Gender: Male Female

Legal name(s) as they appear on your chosen identity document:

First name and any middle name(s):

Surname:

Any other name(s), e.g. maiden name, any other name used:

Mother's maiden name:

Your principal residential address, including country (If you have more than one residential address, please advise on a separate sheet):

Passport or national identity number:

Date of birth:

Place of birth (town and country):

Nationality (if you have more than one nationality, please list all that apply):

Telephone number:

Mobile telephone number:

Email address:

Tick here to opt in to The Investor Portal (The Investor Portal allows you to view your valuations and account information online)

Exact nature of occupation/business (if retired, occupation at time of retirement):

Name of employer:

Third named applicant Source of Wealth

Please indicate the source(s) of your wealth including which country(ies) it was generated in. Please note that we may request a full explanation and formal evidence to verify the source(s). If you require more space please add to the notes section on page 18.

Please disclose any higher risk factors that you or any immediate or close family members or associates have, which may impact your risk status (Please see section 9 for more information).

Please confirm if you're investing solely for yourself or acting for a third party. If investing for a third party, please provide their details in section 1, and provide supporting documentation, such as a power of attorney.

Fourth named applicant

Title: Mr Mrs Ms Miss Other (please specify):

Gender: Male Female

Legal name(s) as they appear on your chosen identity document:

First name and any middle name(s):

Surname:

Any other name(s), e.g. maiden name, any other name used:

Mother's maiden name:

Your principal residential address, including country (If you have more than one residential address, please advise on a separate sheet):

Passport or national identity number:

Date of birth:

Place of birth (town and country):

Nationality (if you have more than one nationality, please list all that apply):

Telephone number:

Mobile telephone number:

Email address:

Tick here to opt in to The Investor Portal (The Investor Portal allows you to view your valuations and account information online)

Exact nature of occupation/business (if retired, occupation at time of retirement):

Name of employer:

Fourth named applicant Source of Wealth

Please indicate the source(s) of your wealth including which country(ies) it was generated in. Please note that we may request a full explanation and formal evidence to verify the source(s). If you require more space please add to the notes section on page 18.

Please disclose any higher risk factors that you or any immediate or close family members or associates have, which may impact your risk status (Please see section 9 for more information).

Please confirm if you're investing solely for yourself or acting for a third party. If investing for a third party, please provide their details in section 1, and provide supporting documentation, such as a power of attorney.

Correspondence address

Address to which correspondence should be sent. If the following section is not completed then all correspondence will be sent to the address of the first named Applicant.

Communications

Tick here, if you would like to receive all communications, such as your valuation statements, tax vouchers, contract notes and Report & Accounts notifications via email. (Please note that communications will be sent to the first named applicants email address only).

Section 2 – Additional information

Country(ies) in which you are resident for tax

First named applicant

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Second named applicant

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Third named Applicant

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Fourth named applicant

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Country:

Tax Identification Number:

Section 3 – Investment details

If you already hold Shares in Canaccord Wealth International Investment Funds Limited or in Canaccord Wealth International Multi Strategy Funds Limited please state your account number:

P

Source of Funds

With reference to the funds that you are investing please specify how they were generated and in which country(ies). Please note that we may request you to provide a full explanation and formal evidence to verify the source(s). If you require more space please add to the Notes page.

If by investing in Canaccord Wealth International Investment Funds Limited you are investing outside your country of residence please can you provide an explanation as to why. If you require more space to complete your answer please use the Notes page.

Please confirm the purpose and intended nature of the investment in the fund and the type, value and volume of activity expected.

Please indicate below, the amount of your lump sum investment and any regular savings or withdrawals of Shares of Canaccord Wealth International Investment Funds Limited:

	Amount of Lump Sum Investment*	Amount of Regular Savings**	Amount of Regular Withdrawals (please stipulate the monetary amount or number of shares)***	Select to redeem shares on either a monthly, quarterly or annual basis by entering M, Q or A in the boxes below:
Canaccord Wealth International Investment Funds Limited				
Euro High Income Fund	£	£		
High Income Fund	£	£		
Sterling Bond Fund	£	£		
Total investment	£	£		
	€	€		

* Minimum Initial Lump sum investment in any one Fund is £5,000 except for Euro High Income Fund which is €5,000. Subsequent investments must be for a minimum of £1,000 or €1,000 for the Euro High Income Fund. When sending monies to us by bank transfer for lump sum investments, please complete the Electronic Funds Transfer Form at the end of this document and send it to your bank. Alternatively, you can transfer the funds yourself through your on-line banking platform, however please inform the Manager to expect the funds.

** Minimum Regular Savings amount for the High Income Fund and the Sterling Bond Fund is £100 or €200 for the Euro High Income Fund. For Regular Savings please complete the appropriate Direct Debit or Standing Order Form for euro investments. The base currency of the funds strategies are Sterling, except for the Euro High Income Fund, which is Euro. If proceeds are received in a different currency to the base currency of the funds, then they will be exchanged into the base currency of that fund prior to investment.

*** Shareholders may instruct the Administrator to redeem shares on either a monthly, quarterly, or annual basis, such redemptions can be for a fixed amount or number of shares and should be for a minimum of £100 (or equivalent).

Section 4 – Nominated bank account

Wherever possible please provide details of a nominated bank account as this will accelerate the Manager's ability to settle any future redemptions on your behalf. Please pay the proceeds of any future redemption(s) of Shares registered in my/our names to:

Name of bank:

Address:

Account name*:

Sort code:

BIC Code / SWIFT code:

Account number/IBAN number:

* This account must be in the name(s) of one or more of the registered shareholders. The manager may ask you for verification documents to validate your bank details, if changed in the future.

Section 5 – Dividends

Please tick the relevant box

- a) Please re-invest dividends to purchase further Shares in the Fund
- b) Please send dividends to our bank/building society as specified below

Name of bank:

Address:

Account name*:

Sort code:

BIC number:

Account number/IBAN number:

* This account must be in the name(s) of one or more of the registered shareholders. The manager may ask you for verification documents to validate your bank details, if changed in the future.

Section 6 – Data Privacy Notice - How we process your personal information

Who looks after your personal information?

Your personal information will be held by Canaccord International Fund Managers Limited, which is part of Canaccord Genuity group of companies (the Group), and is registered as a 'Controller' under the Data Protection (Jersey) Law 2018 as we collect and process personal information about you. More information on the Group can be found at www.canaccordgenuity.com

How we use personal information

We will use personal information:

To provide products and services, manage your shareholding in the Company, manage your relationship with us and comply with any laws or regulations we are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer). For other purposes including improving our services and exercising our rights in relation to agreements and contracts.

To support us with the above, we analyse information we know about you and how you use our products and services, including some automated decision making.

You can find out more about how we use your personal Information, and in what circumstances you can ask us to stop, in our full privacy notice.

Who we share personal information with

Your personal information will be shared within our Group and with our service providers, so that we and any other companies in our Group can look after your relationship with us. By sharing this information it enables us to better understand our customers' needs, run accounts, and provide products and services efficiently. This processing will include some activities which take place outside of Jersey and/or the European Economic Area in jurisdictions whose data protection laws may not be as extensive as those which apply to us. In these instances we will ensure appropriate safeguards are in place to protect your personal information. You can find out more about how we share your personal information in our full privacy notice.

Where we collect personal information from

We will collect personal information from a number of sources including:

- information given to us on application forms, if you meet with us face-to-face, over the phone, by email or through the device you use and when new services are requested.
- from analysis of how you operate our products and services, including the frequency, nature, location, origin and recipients of any payments.
- from or through other organisations (for example, your financial intermediary, credit reference agencies and fraud prevention agencies).

You can find out more about where we collect personal information about you in our full privacy notice.

Our data retention policy?

We are committed to only keeping your personal data only for as long as we need to in order to fulfil the relevant purpose(s) it was collected for and for as long as we are required, or permitted, to keep it by law. In most cases, we will retain your personal Information for not less than 10 years after termination or expiry of our contract with you.

Please note, some of your personal information will be held on a shareholder register. As required by law, these registers are shared with the Jersey Financial Services Commission and may be subject to data retention periods / requirements that differ from our own.

You can find out more about our data retention policies in our full privacy notice.

Do you have to give us your personal information?

We may be required by law, or as a consequence of any contractual relationship we have, to collect certain personal information. Failure to provide this information may prevent or delay us fulfilling these obligations or performing services.

What rights you have over your personal information

The law gives you a number of rights in relation to your personal information including:

- the right to access the personal information we have about you. This includes information from application forms, statements, correspondence and call recordings.
- the right to get us to correct personal information that is wrong or incomplete.
- in certain circumstances, the right to ask us to stop using or delete your personal information.
- in certain circumstances, to receive your personal data, which you have provided to us, in a structured, commonly-used and machine-readable format and the right to transmit that data to another data controller without hindrance, or to have that personal data transmitted to another data controller, where technically feasible.

You also have the right to lodge a complaint with the data protection authority about the way in which your personal data is being used:

- In Jersey to: the Jersey Office of the Information Commissioner www.jerseyoic.org/raise-a-concern-options/

If you would like to lodge a complaint with us, or exercise any of your rights, please contact us using our contact details below. Where we rely on your consent to use your personal data, you have the right to withdraw that consent at any time. You can find out more about these rights and how you can exercise them in our full privacy notice.

Other individuals you have financial links with

We may also collect personal information about other individuals who you have a financial link with. This may include people who you have joint accounts or policies with such as your partner/spouse, dependents, beneficiaries or people you have commercial links to, for example other directors or officers of your company. We will collect this information to assess any applications, provide the services requested and to carry out credit reference and fraud prevention checks. You can find out more about how we process personal information about individuals with whom you have a financial link in our full privacy notice.

How we use credit reference agencies

In order to process your application we may supply your personal information to credit reference agencies (CRAs) including how you use our products and services and they will give us information about you, such as about your financial history. We do this to check your identity and prevent criminal activity.

We may also continue to exchange information about you with CRAs on an ongoing basis, information on funds going into the account and the balance on the account. CRAs will share your information with other organisations, for example other organisations you ask to provide you with products and services. Your data will also be linked to the data of any joint applicants or other financial associates as explained above.

How we use fraud prevention agencies

The personal information we have collected from you and anyone you have a financial link with may be shared with fraud prevention agencies that will use it to prevent fraud and money laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance or employment. Further details of how your information will be used by us and these fraud prevention agencies, and your data protection rights, can be found in our full privacy notice.

Our full privacy notice

It is important that you understand how the personal information you give us will be used. Therefore, we strongly advise that you read our full privacy notice, which you can find at www.canaccordgenuity.com/49f9ab/globalassets/wealth-management-uk/documents/privacy-notice.pdf or you can ask us for a copy.

How you can contact us

If you have any questions or else require more information about how we use your personal information please contact our Data Protection Officer at:

Email: DPO@canaccord.com

Post: Data Protection Officer, Canaccord Genuity Wealth Limited, 88 Wood Street, London, EC2V 7QR.

Section 7 – Declarations and signatures

I/We hereby declare that:

1. I/We accept that this application is made on the basis of the current or any subsequent Prospectus of the Company, a copy of which has been offered to me/us and is subject to the terms of the Memorandum and Articles of Association of the Company.
2. I/We agree to purchase Shares to the value of the total amount(s) shown in Section 3 of this application form.
3. I/We have received and read the relevant Key Information Document(s) ('KID(s)') and that I/we will read and review the most up-to-date version of the KID(s) prior to making any subsequent investment in the Fund(s).
4. A copy of the latest available Report and Accounts has been offered to me/us.
5. I am/We are over eighteen years of age.
6. The Shares are NOT being acquired directly or indirectly by a person resident in the United States of America.
7. The Shares are NOT being acquired directly or indirectly by a person in violation of any law applicable to the sale of Shares to such a person.
8. I/We acknowledge that investment in the Company is not a deposit with or other liability of any of the companies in the Canaccord Genuity Group and is subject to investment risk including delays in repayment and potential loss of income and capital invested.
9. I/We acknowledge that this contract is with Canaccord International Fund Managers Limited for investment in the Company and is subject to the law of Jersey.
10. This contract is in English and I/we agree that you may continue to communicate with me/us in English once this contract is made.
11. The details provided by me/us are correct.
12. All subscriptions made and to be made belong to me/us.
13. I/We understand (i) that you may require additional information from me/us and; (ii) that you may use electronic data resources; to check my/our identity(ies) in accordance with money laundering and other regulations.
14. I/We confirm that you may use the details I/we have provided to register me/us for the online portal so that you may correspond with me/us electronically.
15. I/We confirm that any funds transferred do not or will not represent the proceeds or derive from, any activity which would be considered illegal under the Anti-Money Laundering and Proceeds of Crime legislation applicable in Jersey and my/our country(ies) of residence.

In the case of joint accounts:

We acknowledge that all instructions and/or amendments to our details must be authorised by all shareholders and, where necessary, suitably validated, with the exception of redemption and payment instructions where a nominated bank account, previously authorised by all shareholders, has been provided to the Manager.

On the death of the first of us the balance of our shareholding passes to the survivor(s) of us and we authorise you to accept the instructions of any such survivor(s) concerning the shareholding.

All joint Applicants must sign in the order of Section 1 of this application form.

Copies of the current and any subsequent prospectus are obtainable, free of charge, from the Manager.

Your Marketing preferences

I consent to Canaccord International Fund Managers Limited contacting me in the following ways about investment products, services and promotional offers that may be of interest.

Please tick here: By post By phone By email

I consent to other entities within the Canaccord Genuity Group contacting me, in the following ways about investment products, services and promotional offers that may be of interest.

Please tick here: By post By phone By email

You can withdraw your consent at any time by letting us know by post, phone or email. We'll continue to use your chosen method of communication for all other types of interactions with you.

Signature of the first applicant

(please sign in black ink if possible)

Signature:

Date:

Signature of the second applicant

(please sign in black ink if possible)

Signature:

Date:

Signature of the third applicant

(please sign in black ink if possible)

Signature:

Date:

Signature of the fourth applicant

(please sign in black ink if possible)

Signature:

Date:

Cheques will only be accepted if made payable to Canaccord International Fund Managers Limited with a reference to the Applicant(s)/shareholder(s) name.

Copies of the current or any subsequent Prospectuses are obtainable free of charge from Canaccord International Fund Managers Limited, Third Floor, No 1 Grenville Street, St Helier, Jersey, Channel Islands, JE2 4UF.

Section 8 – Agent’s details

Agent’s name:

Address:

Regulating authority:

Contact details

Telephone:

Fax:

Email:

Registration number:

Section 9 – Notes on completion of the Application Form

Before sending us your application please check that you have completed all sections of the form and that you have either enclosed your cheque payable to Canaccord International Fund Managers Limited with a reference to the Applicant(s)/shareholder(s) name or that you have completed and sent the 'Electronic Funds Transfer Form' to your Bank. Cheques or electronic transfers must be sent from an account held in the name of one or more of the Applicants. If you are settling your investment by cheque, please note that your investment will only be processed upon cleared funds in the Manager's bank account.

If you are investing through the Regular Savings Plan in sterling, please check you have completed the Direct Debit Form and have enclosed it with your application form.

If you are investing in the Regular Savings Plan in USD, please ensure that you have completed all sections of the Standing Order Form and that the Standing Order has been enclosed with your application form. Applications for currency Regular Savings Plans and the Standing Order Forms should be returned to your Canaccord International Fund Managers Limited representative.

Definitions

Applicant

The entity in whose name the shareholding will be registered.

Source of Wealth

This is distinct from source of funds, and describes the activities which have generated the total net worth of a person, i.e. those activities which have generated a customer's funds and property. Information concerning the geographical sphere of the activities that have generated a customer's wealth may also be relevant.

Source of Funds

AML Handbook defines SOF as 'The activity that generates the funds for a customer (e.g., salary, trading revenues, or payments out of a trust). Source of funds relates directly to the economic origin of funds to be used in a business relationship or one-off transaction.'

Third Party/ies

Person(s) for whom the Applicant is acting – the Applicant's customers.

Higher Risk factors

Higher risk factors include situations where the Applicant or Third Party/ies are:

- A person with a connection to an 'enhanced risk state' (as defined in the Jersey Financial Services Commission AML/CFT Handbook, Appendix D1)

- A person with a connection to a country identified as presenting higher risks (as defined in the Jersey Financial Services Commission AML/CFT Handbook, Appendix D2)
- Politically Exposed Person (PEP) including an immediate family member or close associate of a PEP
- A resident of or a person connected with a sanctioned country
- A person who carries out, or whose source of funds or wealth derives from a 'sensitive activity' as defined by the Jersey Financial Services Commission in Table 2 of its Sensitive Business Practice Policy
- A person regarded as Higher Risk due to the presence of other risk factors.

Tax Identification Number (TIN)

Is an identifying number used for tax purposes. Most countries issue them to identify their taxpayers and to help with the administration of tax affairs. If the applicant(s) are unable to provide a TIN, please provide an explanation why in the notes section on page 18.

Important – anti-money laundering regulations

In order to comply with the anti-money laundering guidelines issued by the Jersey Financial Services Commission, Applicants will be required to provide documentary evidence of their identity. If you are not an existing shareholder please provide one document from section A and one from section B for all parties who exercise control over the account, including individual and joint parties and any agents or holders of a power of attorney. All copies of identification must be certified by a suitable individual (by a suitable certifier, see below*). Please note that in certain circumstances we may request additional identification from any party included in this application.

Section A - personal identity

- Certified copy of a valid, current passport showing a clear photograph, that bears a signature and the expiry date
- Certified copy of a valid, current driving licence from a licensing authority that carries out an identity check before issuing
- Certified copy of a valid current national identity card.

Section B - proof of residential address (original or certified copy*)

- Utility bill (dated within the last three months, mobile phone bills are not acceptable)
- Most recent bank or building society statement or passbook
- Record of home visit (by suitable certifier, see below*)
- Local authority tax bill (current year)
- Mortgage statement (current)
- A tenancy contract or agreement.

Certification of Documents

When providing certified copies of documents, the person certifying the copy document must state the following:

'I hereby certify that this is a true and correct copy of the original document sighted by me and, where the document contains a photograph, the photograph is a true likeness of the individual.'

The person certifying the document must state the following:

- Full Name of Certifier
- Position/Title of Certifier
- Signature of Certifier
- Date of Certification – must be dated within the last 3 months
- Contact details – address, telephone number and email address of Certifier. Where more than one page of any document in relation to identification is provided, the certifiers must fully comply with the above on the first page and then sign and date each remaining page. NB: Certification must be of original documents; certified copies of faxes and copies of certified copies are not acceptable and will be rejected.

This certification must be undertaken by one of the following:

- Lawyer/Notary Public/Barrister who is a member of a recognised professional body
- An Embassy, Consulate or High Commission employee of the country of issue (acting in their official capacity)

Note: British Foreign and Commonwealth overseas missions will, in most cases, not provide this service.

- A member of the judiciary, a Senior Civil Servant, Accountant who is a member of a recognised professional body
- A Director, Officer or Manager of a regulated Financial Services Business operating in an equivalent jurisdiction (branch rubber stamp must be affixed)
- Serving Police Officer or Customs Officer (Office/Station rubber stamp must be affixed)
- An individual that is qualified to undertake certification services under the authority of the Certification and
- International Trade Committee (in Jersey this service is available through the Jersey Chamber of Commerce)

The completed Application Form should be posted to:

The Administrator, PO Box 12984, Dunmow, United Kingdom. CM6 9DQ

Contact Details

Customer Services

T: +44 (0) 800 735 8000

International Callers: +44 (0) 1534 700104

E: CW.dealing@JTCTGroup.com

Section 10 – Notes

Additional nominated banks form

Canaccord Wealth International Investment Funds Limited

Section 1 – Additional nominated banks

If you would like to nominate any banks to make or receive payments, in addition to the one already nominated on the application form, please complete the relevant boxes and ensure that all shareholders sign in the space provided at the bottom of this page and return the completed form to us. Please note that any account must be in the names of one or more of the registered shareholder(s). This form can be completed either at the time of application or at a later date if required. Please note the Administrator may request verification of your bank details.

Name of bank:

Address:

Account name:

Sort code:

BIC code / SWIFT code:

Account number/IBAN number:

Name of bank:

Address:

Account name:

Sort code:

BIC code / SWIFT code:

Account number/IBAN number:

Name of bank:

Address:

Account name:

Sort code:

BIC code / SWIFT code:

Account number/IBAN number:

All shareholders must sign (please sign in black ink if possible)

Signature:

Name:

Date:

If already a shareholder please state account number:

P

Signature:

Name:

Date:

If already a shareholder please state account number:

P

Signature:

Name:

Date:

If already a shareholder please state account number:

P

Signature:

Name:

Date:

If already a shareholder please state account number:

P

Section 2 – Electronic funds transfer form

(for Lump Sum Investments only)

Applicants wishing to transfer funds electronically should complete this form and deliver it to their bankers as soon as possible in order that monies may be transferred promptly.

Name of your bank/building society:

Address of your bank/building society:

Account name to be debited:

Sort code:

Account number:

Please remit by Electronic Funds Transfer from the above account the sum of:

Amount in figures:

Currency (please tick box): £ € (Please contact the Manager should you wish to remit another currency)

Amount in words:

To

Sterling Settlement

Please request your bankers to remit by Electronic Funds Transfer payments for the credit of Canaccord International Fund Managers Limited - client account

Account number: 01773390

IBAN Number: GB40LOYD30946101773390

Lloyds Bank International
9 Broad Street, St. Helier, Jersey JE4 8NG

Sort code: 3 0 – 9 4 – 6 1

If remitting funds from outside the UK send via SWIFT MT103 to LOYDJES1001

Euro Settlement

Please request your bankers to route payments by authenticated SWIFT MT103 to LOYDJEH1 for the credit of Canaccord International Fund Managers Limited - client account

Account number: 51091336

IBAN Number: GB47LOYD301663351091336

Lloyds Bank International
Jersey

Sort code: 3 0 – 1 6 – 6 3

Very Important: Please ensure the following details are included in the payment.

Name of applicant(s):

Account number (if existing shareholder): P

Signature(s):

(please sign in black ink if possible)

Date:

Signature joint holder (if applicable):

Date:

Section 3 – Direct Debit instructions

(for Regular Savings Plan in sterling only)

Instructions to your Bank or Building Society to pay Direct Debits

Please fill in the whole form and send it to: The Administrator, PO Box 12984, Dunmow, CM6 9DQ

Originator's Identification Number: 7 7 0 0 8 1

1. Name and full postal address of your Bank or Building Society branch

To: The Manager

Bank or Building Society

Address:

2. Name(s) of the account holders

Account holder 1:

Account holder 2:

Account holder 3:

Account holder 4:

3. Branch sort code

(from the top right corner of your cheque)

4. Bank or Building Society Account Number

Account number:

5. Reference Number

(Official use only):

6. Instructions to your Bank or Building Society

Please pay Canaccord International Fund Managers Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit guarantee.

(Official use only):

Signature(s)

(please sign in black ink if possible)

Date:

Signature joint holder (if applicable):

Date:

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.



The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Canaccord International Fund Managers Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Canaccord International Fund Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Canaccord International Fund Managers Limited or your bank or building society, you are entitled to a full and immediate refund paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Canaccord International Fund Managers Limited asks you to.
- You can cancel a Direct Debit at any time by writing to your bank or building society. Written confirmation may be required. Please also notify us.

Section 4 – Standing Order Instructions

(for Regular Savings Plan in Euro only)

Standing Order Form for Euro Savings (€)

Applicants should complete this form and return it to their representative with their completed application form.

Name of your Bank:

Address:

Please transfer to:

For the credit of:

Euro account number 51091309

IBAN number GB97LOYD30166351091309

Payments must be in Euro (€)

An initial payment of:

Date of first payment*:

*Applications received after the 1st business day of the month will commence payments on the 20th of the following month. Investments will be carried out on the last business day of the month.

Followed by subsequent payments as specified below.

Total Monthly Standing Order in the Euro High Income Fund:

Office use only

Transaction reference:

To be deducted monthly on the 20th of each month until further notice. From my/our account

Account name:

Account number:

Sort code:

Canaccord International Fund Managers Limited must be informed if changes are made to payment amounts and/or currencies. Failure to notify the Manager may cause delay in processing payments and we will process deals at our discretion.

Signature(s):

(please sign in black ink if possible)

Date:

Signature joint holder (if applicable):

Date:

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