



## Our Advisory Stockbroking Service at a glance

At Canaccord Genuity Wealth Management (CGWM), we've found that more and more people are looking for innovative and sophisticated investment services. Our high-quality Advisory Stockbroking Service is designed to meet those needs.

If you take an active interest in financial markets and prefer to make your own investment decisions, this service is ideal. It lets you discuss your investment ideas and goals with an experienced professional, who can then execute them fast and responsively for you. We will work closely with you to understand your specific requirements, while allowing you to stay in control of your investment decisions.

### Important information

Investment involves risk. The value of investments and the income from them can go down as well as up and you may not get back the amount originally invested. The investments discussed in this document may not be suitable for all investors.

## Why should I choose your Advisory Stockbroking Service?

As a CGWM Advisory Stockbroking Service client you will have direct access to our qualified and experienced team of investment professionals, allowing you to benefit from fast, often immediate, trading and execution. Our Stockbrokers are close to the market and can draw on a wide range of in-house and external research. Our aim is to build a lasting relationship with you, based on trust and integrity and founded on providing quality investment ideas.

We have a long history of multi-asset investing on behalf of clients (going back to hedge funds in the mid 1990s). We can advise you on a broad range of assets such as global equities, global bonds, funds and investment companies, exchange traded funds, commodities and private equity.

We also have the competitive advantage of using Quest®, Canaccord Genuity's proprietary stock research tool, which is only licensed to institutional investors. Quest® enables us to take a clear-sighted, objective view of individual companies' performances in a marketplace where it is only too easy for advisers to be subjective.

## Do you give the same advice to all your clients?

No. Before we give you any advice, we will sit down with you to discuss your objectives and find out how much investment risk you are comfortable with. We will also make sure we understand exactly how much you know about investing, and what experience you've had. Once we have all the facts, we can ensure our advice is right for your individual needs and circumstances.

## What other information will you give me?

As an Advisory Stockbroking Service client you will receive our Intelligent Investing newsletter every other month. It includes our views about what is happening in the market, and our analysis of suggested equity and investment trust stock picks. Your personal Stockbroker will also send you other investment ideas on an ongoing basis; these are based on a robust process incorporating Quest® as well as the views of our analysts.

## How can I check my investments?

All our stockbroking clients receive a clearly laid out valuation report each quarter, with a market commentary from our Chief Investment Officer. You can choose whether we send this by post or online, whichever suits you best.

You can also view your account online at any time, no matter where you are, through our easy-to-use Wealth Online portal and app, including your investments, statements and historical valuations.

## About Canaccord Genuity Wealth Management

Investing with Canaccord Genuity Wealth Management provides access to a broad range of wealth management solutions. We are a top 10 independent UK wealth manager, with decades of experience in managing investments for private clients, intermediaries and charities. Now, in the UK and Europe, our investment professionals manage and administer over £33.3bn of assets (as at 30 June 2022).

Our core wealth management services include portfolio management, stockbroking and financial planning. While you may prefer to make your own investment decisions, you may still benefit from our financial planning services\*. Our Wealth Advisers are not tied to any product or service, so they can offer you a clear and transparent wealth partnership.

We have enough size and scale to deliver experience and expertise, combined with a highly developed and up-to-date technical infrastructure. This provides efficient and effective investment management, as well as economies of scale that allow us to be price competitive. At the same time we are small enough to provide a personal relationship-led service with direct access to our people and knowledge.

We are part of Canaccord Genuity Group Inc., a publicly traded company under the symbol CF on the Toronto Stock Exchange. Established in 1950, it is now a leading global financial services firm, operating in wealth management and capital markets.

The Group has offices in 10 countries, including wealth management offices in the UK, the Channel Islands, the Isle of Man, Canada and Australia. Canaccord Genuity, our international capital markets division, operates in North America, the UK and Europe, Asia, Australia and the Middle East.

\*The wealth planning service provided by our expert Wealth Advisers is 'independent' and fee-based.

# How can we help?

If you're actively interested in financial markets and feel confident about making your own investment decisions, please contact us about our Advisory Stockbroking Service. We will be delighted to answer your questions and provide more details of what we can do for you.

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### Offices nationwide and in the Crown Dependencies

**Birmingham**

**Blackpool**

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The investments described in this brochure may not be suitable for all investors. Investors should make their own investment decisions based upon their own financial objectives and financial resources and, if in any doubt, should seek advice from an investment adviser.

Any tax benefits depend upon the investor's individual circumstances and clients should discuss their financial arrangements with their own tax adviser before investing. The levels and bases of taxation may be subject to change in the future.

This document is for information only and is not to be construed as a solicitation or an offer to purchase or sell investments or related financial instruments. This has no regard for the specific investment objectives, financial situation or needs of any specific investor.

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